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## **Multi-dimensional neural selectivity in the primate amygdala**

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5 **3. List all Author Names and Affiliations in order as they would appear in the  
6 published article:**

7 Philip T. Putnam<sup>1</sup>, Katalin M. Gothard<sup>2</sup>

8

9 1 Graduate Interdisciplinary Program in Neuroscience; The University of  
10 Arizona; Tucson, Arizona, 85724; USA

11 2 Department of Physiology, College of Medicine; The University of Arizona;  
12 Tucson, Arizona, 85724; USA

13

14 **4. Author Contributions:** PTP and KMG Designed Research; PTP Performed  
15 Research; PTP Analyzed data; PTP and KMG wrote the paper.

16 **5. Correspondence should be addressed to (include email address):** Philip  
17 Putnam at [putnampt@gmail.com](mailto:putnampt@gmail.com) and Katalin Gothard at  
18 kgothard@email.arizona.edu

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34

**Multi-dimensional neural selectivity in the primate amygdala****Philip T. Putnam<sup>1</sup> and Katalin M. Gothard<sup>2</sup>**<sup>1</sup> Graduate Interdisciplinary Program in Neuroscience; The University of Arizona; Tucson, Arizona, 85724; USA<sup>2</sup> Department of Physiology, College of Medicine; The University of Arizona; Tucson, Arizona, 85724; USA**Abstract**

The amygdala contributes to multiple functions including attention allocation, sensory processing, decision-making, and the elaboration of emotional behaviors. The diversity of functions attributed to the amygdala is reflected in the response selectivity of its component neurons. Previous work claimed that subsets of neurons differentiate between broad categories of stimuli (e.g., objects vs. faces, rewards vs. punishment) while other subsets are narrowly specialized to respond to individual faces or facial features (e.g., eyes). Here we explored the extent to which the same neurons contribute to more than one neural subpopulation in a task that activated multiple functions of the amygdala. The subjects (Macaca Mulatta) watched videos depicting conspecifics or inanimate objects, and learned by trial and error to choose the individuals or objects associated with the highest rewards. We found that the same neurons responded selectively to two or more of the following task events or stimulus features: (1) alerting, task-related stimuli (fixation icon, video start, and video end), (2) reward magnitude, (3) stimulus categories (social vs. non-social), and (4) stimulus-unique features (faces, eyes). A disproportionate number of neurons showed selectivity for all of the examined stimulus features and task events. These results suggest that neurons that appear specialized and uniquely tuned to specific stimuli (e.g., face cells, eye cells) are likely to respond to multiple other types of stimuli or behavioral events, if/when these become behaviorally relevant in the context of a complex task. This multi-dimensional selectivity supports flexible, context-dependent evaluation of inputs and decision-making based activating the same neural ensemble.

65 Visual abstract



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69 Significance statement

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71 The primate amygdala contains neurons tuned to stimuli of high behavioral significance  
 72 such as reward and punishment, faces, eyes, etc. It has been assumed that these specialized  
 73 responses emerge from domain-specific cortical inputs that are evaluated for affective  
 74 significance in the amygdala. Here we show that in the context of a task that requires the joint  
 75 activation of multiple functions of the amygdala, neurons show multi-dimensional response  
 76 properties, i.e., instead of specialization for relatively narrow domains of stimuli, they respond to  
 77 multiple types of stimuli and multiple task events. This finding adds to growing experimental  
 78 and theoretical evidence that that the same neurons in the amygdala can serve, depending on the  
 79 behavioral context, multiple functions.

80

**81 Introduction**

82 The main role of the amygdala is to differentiate between rewarding or approach-  
83 inducing, and aversive or avoidance-inducing stimuli. Amygdala-dependent behaviors are based  
84 on multiple functions that emerge from the joint activity of subsets of neurons. These behaviors  
85 include but are not restricted to: defensive behaviors (reviewed by LeDoux, 2003 and Maren and  
86 Quirk, 2004), the coordination of autonomic responses (Amiez et al., 2003; Kapp et al., 1979;  
87 Laine et al., 2009; Pribram, 1967), attention and vigilance (reviewed by Davis and Whalen,  
88 2001), reward processing (reviewed by Baxter and Murray, 2002 and Morrison and Salzman,  
89 2010), and social perception, including the differentiation of individuals (reviewed by Adolphs,  
90 2010; Gothard et al., 2007; Rutishauser et al., 2015). Each function underlying these behaviors is  
91 instantiated in the activity of neurons that appear specialized or tuned to a specific class of  
92 stimuli or events. It is unclear whether these neurons are exclusively active in response to a  
93 single type, or multiple, possibly independent types of stimuli or events.

94 In some experimental contexts, neurons in the amygdala segregate into stimulus-selective  
95 or task-related subpopulations (Beyeler et al., 2016; Kim et al., 2016) suggesting neuronal  
96 specializations within the amygdala. When animals choose between reward or punishment,  
97 approach or avoidance, or other mutually exclusive alternatives, neurons diverge along clear  
98 separation lines (e.g. Paton et al., 2006). These observations naturally led to the assumption that  
99 neurons in the amygdala are tuned to one of the alternatives. However in the context of more  
100 complex tasks amygdala neurons show broader selectivity (Munuera et al., 2018; Nishijo et al.,  
101 1988; Salzman and Fusi, 2010) and multimodal responses (Morrow et al., 2019). The goal of this  
102 study was to examine neural responses in the primate amygdala during a task that quasi-  
103 simultaneously activated multiple, well-characterized functions of the amygdala. This task  
104 required (1) attention to multiple, behaviorally relevant cues, (2) learning the value associated  
105 with different stimuli, (3) discrimination of social and non-social stimuli, and (4) discrimination  
106 between individuals. A putative role of oxytocin of modulating the expected social behaviors or  
107 the neural responses to social stimuli was also examined, by allowing the subject to inhale prior  
108 to the experiments vaporized oxytocin or saline.

109 The task was designed to elicit within each trial a type of neural response that had been  
110 previously documented in less complex tasks. For example, alerting stimuli, such as the fixation  
111 icon or the onset/offset of a visual stimulus often elicit neural responses (Mosher et al., 2010)  
112 reflecting the role of the amygdala in attention and vigilance (Davis and Whalen, 2001). Neurons  
113 that respond to the fixation cue may also respond to subsequent stimuli that typically contain  
114 behaviorally relevant information (Mosher et al., 2010). Value-related neural responses have  
115 been amply documented in conditioning tasks, where distinct cues predict positive or negative  
116 valence (Livneh and Paz, 2012; Paton et al., 2006; Saez et al., 2017). Even the plan to obtain  
117 reward (Hernádi et al., 2015) and the propensity to consume or save rewards (Grabenhorst et al.,  
118 2012) elicit value-related neural responses in the primate amygdala. The idea that the same  
119 neurons may be part of multiple, even opposing circuits was strongly suggested by the high  
120 degree of similarity between neurons in the rodent amygdala that predict appetitive and aversive  
121 outcomes (Shabel and Janak, 2009). More recently, Kyriazi and colleagues (2018) reported that  
122 in the context of a risk-reward interaction task, neurons in the rat amygdala concurrently encode  
123 multiple stimulus and task features. Finally, a prominent role of the primate amygdala in social  
124 cognition has been evinced by neurons that differentiate between social and non-social stimuli  
125 (Gothard et al., 2007; Minxha et al., 2017; Mosher et al., 2010). Several authors proposed that

126 the amygdala contains neuronal specializations for the representation of faces (Rutishauser et al.,  
127 2011; Sanghera et al., 1979), facial expressions (Gothard et al., 2007), eye contact (Mosher et al.,  
128 2014), and social vocalizations (Gadziola et al., 2016). These neurons, that appear specialized for  
129 the social domain, can also respond to non-social entities such as reward (Munuera et al., 2018).  
130 Here we combined four domains of selectivity to determine the extent to which subpopulations  
131 of neurons that were tuned to each domain segregate into overlapping or distinct groups.

## 132 **Material and Methods**

133

### 134 *Subjects*

135 All experimental and surgical procedures complied with guidelines of the National  
136 Institute of Health for the use of primates in research, and were approved by the Institutional  
137 Animal Care and Use Committee. Behavioral and neural data were collected from M and H, two  
138 adult (8-year old) male rhesus macaques (*Macaca mulatta*). Both animals were housed in  
139 double-sized cages, in the same room, with visual access to the other monkeys in the colony.  
140 They were implanted with custom-manufactured bilateral recording chambers (Thomas  
141 Recording GmbH, Giessen, Germany) that allowed access, via bilateral craniotomies, to both  
142 amygdalae. For accurate eye tracking the implants contained three small titanium posts for the  
143 attachment of a ring used for head fixation.

144

### 145 *Neurophysiological Recordings*

146 Single unit activity was recorded bilaterally from both amygdalae using custom made 16-  
147 channel linear V-probe electrodes (Plexon Inc., Dallas, TX). The probes were advanced to their  
148 targets by custom-built NAN drives (NAN Instruments, Israel) or MEM drives (Thomas  
149 Recording GmbH, Giessen, Germany) attached to the chamber. Wideband analog signal from the  
150 electrodes was digitalized via a head stage at 40 kHz (Plexon Inc., Dallas, TX), and recorded  
151 using an OmniPlex neural data acquisition system (Plexon Inc., Dallas, TX). The wideband data  
152 was then filtered online with a high-pass filter (600 Hz) to isolate single unit activity. Spike  
153 sorting was done offline using the Offline Sorter (Plexon Inc., Dallas, TX). We analyzed only  
154 units with signal to noise ratio larger than 2:1, and with stability throughout the recording session  
155 (no abrupt changes in spike waveform shape).

156 During recordings the subject monkeys were seated in custom built primate chairs with  
157 an LCD monitor spanning 38x40 degrees of visual angle (DVA) placed at 58 cm from their eyes.  
158 Eye movements were calibrated by fixating on a nine-point calibration grid within an error of  $\pm 1$   
159 DVA. Eye position was recorded using an infrared camera at 240 Hz (ISCAN Inc., Woburn,  
160 MA), and sampled as an analog signal using an OmniPlex neural data acquisition system (Plexon  
161 Inc., Dallas, TX).

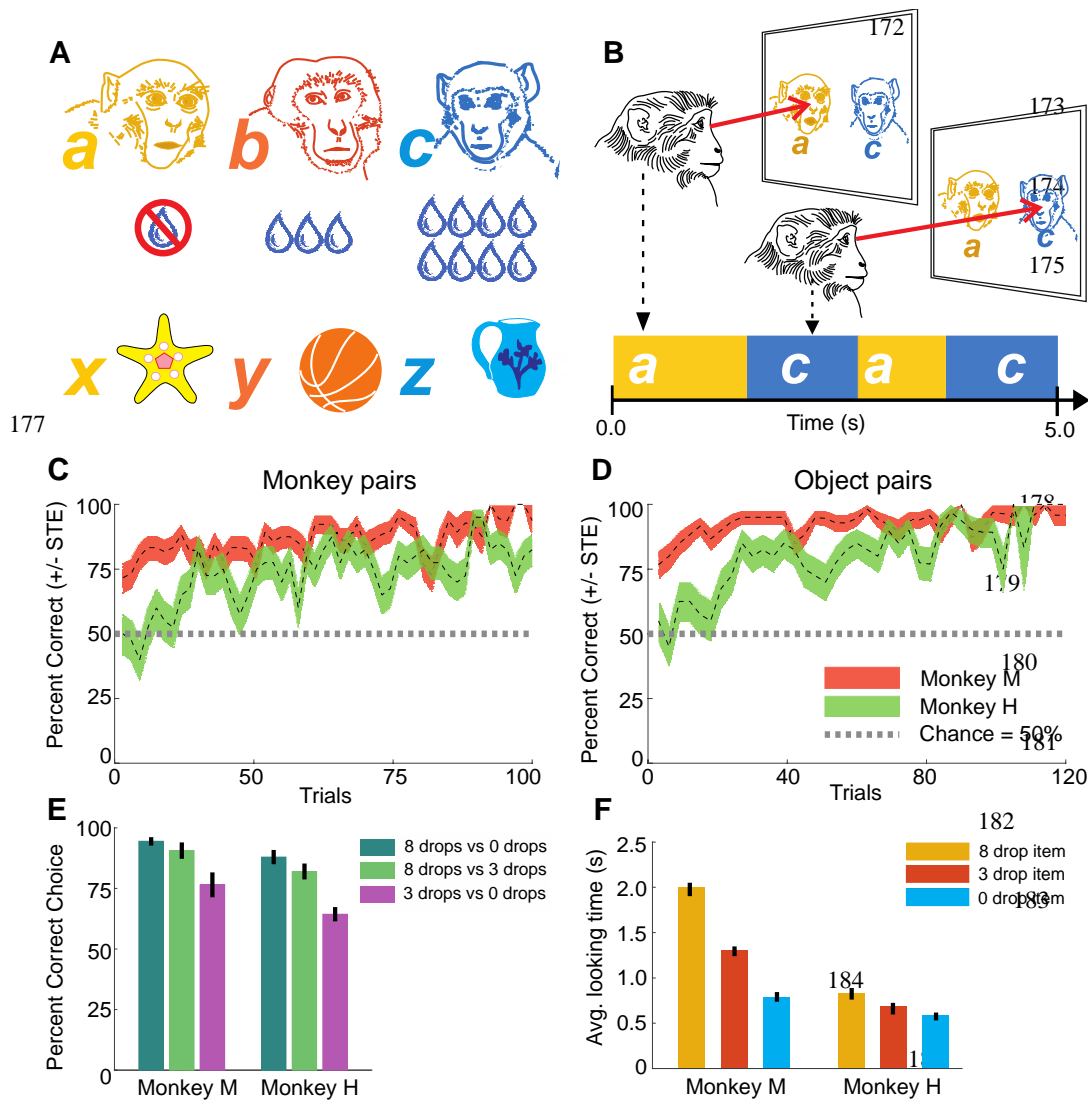
162

### 163 *Behavioral task*

164 Subjects were required to discriminate between videos of either freely behaving  
165 conspecifics (henceforth stimulus monkeys), or videos of moving objects (Figure 1). These  
166 videos were a proxy for social and non-social stimuli. We did not expect the movement of the  
167 inanimate objects to activate neurons that otherwise might respond to biological motion or other  
168 social behaviors. Both monkeys participated in 10 recording sessions. During each session the

169 subjects encountered three previously unfamiliar stimulus monkeys, and three unique objects  
 170 (Figure 1).

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 188  
 Figure 1. Behavioral task and performance. (A) During each recording session the subject encountered three unfamiliar monkeys and objects (*a*, *b*, and *c*). Each monkey and object was associated with a different amount of juice reward. The three items in each category were paired in the three combinations (*ab*, *ac*, and *bc*). Maximizing the reward was the incentive to discriminate between both monkeys and objects. (B) The periods of looking at each of the two videos displayed on the monitor was determined based on the viewer monkey's eye movement. In this case monkey *a* (zero drops) and monkey *c* (eight drops) are viewed in sequential "looks", defined as a succession of fixations and saccades on the same video or same general gaze target, e.g., face or body. (C-D) Correct performance was defined as selecting the higher value stimulus on each trial. Both subjects performed above chance. (E) Choice accuracy was highest on trials contrasting zero to eight juice drop stimuli and the lowest for contrasting three to zero drops of juice. (F) Monkeys spent more time fixating on the higher valued stimuli.

188 Each stimulus monkey or object was associated with a fixed amount of juice reward: eight drops,  
189 three drops, and zero drops of juice. On each trial, two of the three stimulus monkeys or objects  
190 were presented side-by-side in simultaneously displayed five-second videos. Subjects were able  
191 to freely view both videos, before they were cued to select either the right or left video. Monkey  
192 M received a choice-cue halfway through the video presentation (2.5 seconds), and then selected  
193 either the right or left video by touching and holding a right- or left-sided infrared button for 800  
194 ms before the video ended to choose the corresponding stimulus monkey or object. Monkey H  
195 selected either the right or left video by fixating on a central fixation point following the video  
196 presentation and then by making a saccade to a blue square on the right or left side,  
197 corresponding to the stimulus shown on that side. This difference in task did not have a  
198 detectable effect on the outcome of the experiment. The neural correlates of the behaviors that  
199 were different between monkey M and monkey H were not analyzed. To prevent the monkeys  
200 from choosing an individual based on a specific video, each stimulus monkey and object was  
201 shown in 6 different video clips. These clips depicted different behaviors and were presented  
202 pairwise in a pseudo-randomized order, where unique pairings of videos were presented only  
203 once. This prevented the subjects from choosing a stimulus monkey (or an object) based on low-  
204 level visual features, rather than extracting identity from multiple dynamic views of an individual  
205 as happens during natural social interactions. Monkeys were not otherwise rewarded or  
206 incentivized to view the stimuli. During the course of a recording session the subjects completed  
207 25-30 blocks consisting of 10 monkey or 10 object trials. These blocks were intermixed.

208 The subjects initiated each trial by responding to a start-cue. Monkey M's chair was fitted  
209 with three infrared buttons, and he initiated a trial by touching and holding the middle button for  
210 500 ms. Monkey H initiated a trial by fixating (for 50 ms) on an icon presented at the center of  
211 the monitor. Following the initiation of each trial, the two videos were then immediately  
212 displayed simultaneously. The subjects were free to view either side of the monitor or to look  
213 away from the monitor while the videos were playing (see Fig 1). Due to these differences in  
214 operant responses, the neural activity related to the choice behavior is not reported here. Monkey  
215 M received training with the stimuli the day before recording and thus his behavior was above  
216 chance from the beginning of the recording session (see orange line on Figure 1 C and D). As it  
217 became clear that the monkeys learned these association rapidly the pre-training sessions were  
218 abandoned, and monkey H learned the stimulus-reward association during the recordings  
219 session.

220 The task design included an additional manipulation that was expected to enhance  
221 neural individuation. Prior to each session the monkeys received intranasal oxytocin or saline.  
222 With the exception of fewer neurons that responded to individual monkeys in one of the subjects,  
223 oxytocin did not alter the subjects' behavior or the propensity of neurons to respond to a single  
224 or to multiple types of stimuli reflected by any of the measures used in the analyses reported  
225 here. In the absence of any effects that may have altered the outcome of results presented here,  
226 we have pooled the neurons recorded in all sessions from both monkeys.

227

#### 228 *Anatomical Targeting and the reconstruction of recording sites*

229 During recording, guide cannulae were inserted into a grid fitted into the chamber. The  
230 cannulae penetrated the dura and the cortex to a depth of 4-6mm. V-probes were advanced  
231 through the guide cannulae to a depth calculated based on structural MR images (1 mm slice



232 thickness). The boundaries of the main nuclei of the amygdala were outlined on each MRI slice.  
233 The anatomical reconstructions of electrode targets were based on a post-surgical MRIs that used  
234 columns of contrast positioned coaxially with the recording chambers, allowing us to calculate  
235 the x-y-z location of the each recording site in the amygdala relative to the chamber coordinates.  
236 The estimated x-y-z coordinates of recording sites were confirmed histologically (via small  
237 electrolytic lesions placed at known coordinates) in subject M. Subject H was involved in  
238 ongoing experiments. Single units recorded outside the amygdala were discarded from the  
239 analysis.  
240

#### 241 **Data analyses**

242 All analyses were carried out using custom-designed programs in MATLAB R2018 (The  
243 MathWorks, Natick, MA, USA). We determined whether the recorded neurons respond to, or  
244 discriminate between one of the following four features: (1) task-related events, (2) categories of  
245 stimuli (monkeys vs. objects), (3) individual stimulus monkeys or objects, and (4) faces or eyes.  
246 Instead of traditional spike train analyses, which can identify the selectivity of each neuron for  
247 these features separately, we used General Linear Models (GLMs) in order to capture the extent  
248 to which each neuron is tuned to all the features (the specifics of the GLM are described in more  
249 detail below). For example, GLMs give a quantitative measure of the extent to which neurons  
250 that are category-selective for social stimuli also differentiate between individual items in that  
251 category such as the faces or the eyes of particular individuals. Response selectivity for each  
252 feature was computed from the segments of the spike train (details below) that followed the  
253 display of a particular stimulus, or segment of time immediately following an eye movement that  
254 brought a particular stimulus or stimulus feature into central gaze. For example, selectivity for  
255 the alerting fixation icon was calculated based on the segment of the spike train that occurred  
256 immediately following the display of the trial start cue, whereas for assessing selectivity for  
257 individual faces we had to take into account the eye movements of the subjects. Instead of  
258 individual fixations and saccades (that might be shorter than the optimal window of analysis) we  
259 used “looks”, i.e., a consecutive sequence of saccades and fixation in the same area (face, body,  
260 etc.).

#### 261 ***Classification of task responsive neurons***

262 Each cell was tested for responsiveness to four task events: (a) the start-cue onset at the  
263 beginning of each trial (start-cue), (b) the onset of stimulus presentation (video-on), (c) the end  
264 of stimulus presentation (video-off), and (d) the presentation of the choice-cue (choice). To be  
265 classified as responsive to one of these events a cell was required to show a significant change in  
266 its firing rate during a post-event window (600ms width, with an offset of +50ms) when  
267 compared to a matched pre-trial baseline period during the previous inter-trial interval using a  
268 two sample Kolmogorov- Smirnov (KS) test ( $p < 0.05$ ). In addition to comparing the mean firing  
269 rate in these windows, we also required that the firing rate in at least one bin in the response  
270 window to be significantly different from the distribution of matched baseline bins (2-sample KS  
271 test  $p < 0.05$  corrected for multiple comparisons using a method described by Benjamini and  
272 Hochberg (1995). For this analysis trials were the independent observations. Neurons that were  
273 included in the analysis had to have a minimum of 75 trials.

274 *Classification of category, value, and identity selectivity*

275 We tested the selectivity of neurons for (1) category, (2) associated value, and (3) identity  
276 by fitting different generalized linear models (GLMs) separately to each neuron. These models  
277 contained terms for category (social, non-social), value (8, 3, and 0 drops of juice), or both  
278 category and value (with and without interaction terms). The response variable for all models  
279 was the spike count during a window spanning 50ms to 350ms after the onset of the look (recall  
280 that a “look” is a consecutive sequence of fixations and saccades on the same video). For  
281 comparison to a baseline model, a null model was created with duration of the look as a random  
282 continuous variable, and this model was nested in all other subsequent models. Models  
283 containing a term for category (monkey or object as categorical variable) or value (eight, three,  
284 or zero drops of juice) were then compared to the baseline model to determine if either term  
285 significantly improved the fit of the model. Comparisons of model fit were performed using a log  
286 likelihood ratio test ( $p < 0.05$ ). GLM models were fit and compared using the MATLAB  
287 functions ‘fitglm’ and ‘compare’ respectively. The link function, i.e. the relationship between  
288 the predictor variable and distribution function, was identity ( $X\beta = \mu$ ). If adding a term for either  
289 category or value (but not an interaction term) significantly improved the model fit compared to  
290 null then the neuron was identified as selective for category or value, respectively. Because, in  
291 this task, identity could be defined as a single category-value pairing, if the addition of an  
292 interaction term for category and value improved the fit compared to all other models, the neuron  
293 was considered selective for identity (because identity could be defined as a single category-  
294 value pairing). For this analysis each “look” was an independent observation.

295

296 *Classification of face and eye selectivity*

297 We tested the selectivity of neurons for fixations on the face or eyes by fitting different  
298 GLMs separately to each neuron. For this analysis only those 50ms to 350ms segments of the  
299 spike train were used that corresponded to “looks” that landed on either the face/eyes or body of  
300 monkeys videos. Here again, a null model was created with duration of the look in milliseconds  
301 as a random continuous nuisance variable, and this model was nested in all other subsequent  
302 models. A model containing a term for *fixation target* (eyes/face or body as a variable) was then  
303 compared to the baseline model to see if either term significantly improved the fit of the model.  
304 Comparisons of model fit were performed as described above for task-related neurons. If adding  
305 a term for fixation target on the face or eyes significantly improved the fit of the model then the  
306 cell was classified as eye/face-selective.

307 To ascertain that the multi-dimensional neurons reported here are not a special category  
308 of cells in the amygdala, we determined whether these cells showed the same modulation in  
309 response duration, magnitude, and response polarity described by Mosher et al. (2010).  
310 Specifically, we quantified response duration (phasic or tonic), response magnitude, and  
311 response polarity (significant increases or decreases of firing rates). Response duration under or  
312 above 150ms was classified as phasic or tonic, respectively. Response magnitude was calculated  
313 using the explained variance (sum of squares between groups by the sum of squares total) from a  
314 1-way ANOVA, or the test statistic from a two sample KS (Kolmogorov-Smirnov) Test,  
315 comparing either to baseline or across conditions as previously described. Response magnitude  
316 was z-scored across the entire population of cells to normalize against other values. The  
317 normalized average magnitude of selectivity was taken as the average of all response magnitudes  
318 for which that cell was responsive to, or selective for.

319

320 *Effect of Oxytocin on the examined parameters*

321 Twenty minutes before the beginning of each recording session subjects received an  
322 intranasal administration of either 50 IU Oxytocin (Santa Cruz Biotechnology, Dallas, TX) in  
323 2ml of saline or 2ml of saline vehicle (Pari GmbH, DE) via a pediatric nebulizer. Each subject  
324 performed an equal number of sessions following OT (n=5) or saline vehicle (n=5)  
325 administration. We modeled a binomial distribution around the conditional probability that OT  
326 did not influence the frequency of the above classifications ( $P(\text{OT-classification}) =$   
327  $P(\text{OT}) * P(\text{classification})$ ). We then examined if our observed frequency for each classification,  
328 under OT or saline, lay within the center 95% of this distribution (two-tailed test,  $\alpha=0.025$ ). The  
329 only parameter that may have been significantly affected by oxytocin was the frequency of  
330 monkey-identity selective neurons ( $p = 0.0095$ ), with significantly fewer monkey-identity  
331 selective cells observed following oxytocin inhalation in monkey H. This monkey also had  
332 additional experience with the stimuli (see difference in learning curves in Fig. 1) and thus it is  
333 not clear that the observed effects were due solely to oxytocin. This effect was seen only on  
334 monkey H and given that this result would only reduce the probability of finding multi-  
335 dimensional neurons, the data recorded under oxytocin and saline were combined for all other  
336 analysis, resulting in a more conservative approach.

337 For an additional examination of the potential effects of oxytocin on the multi-  
338 dimensional effects pursued in this study, we tested if there was a significant relationship  
339 between the probability of a neuron being recorded after oxytocin and exhibiting any of the four  
340 macro-classifications of selectivity used to examine multi-dimensional in the subsequent  
341 analyses. There was no significant relationship between oxytocin and the probability of neuron to  
342 respond to task events  $X^2(1, N = 308) = 0.92$ ,  $p = 0.34$ , or to be category-selective  $X^2(1, N =$   
343  $308) = 0.76$ ,  $p = 0.38$ . For neurons that were identity-selective, there was a significant  
344 relationship with the probability of neuron being recorded after oxytocin,  $p = 0.04$ . Significantly  
345 smaller proportion monkey-identity selective cells observed following oxytocin inhalation in  
346 monkey H  $X^2(1, N = 308) = 4.26$ , but not in Monkey M  $X^2(1, N = 308) = 0.48$ ,  $p = 0.49$ . There  
347 was no significant relationship oxytocin and the probability of neuron to be selective to  
348 faces/eyes  $X^2(1, N = 308) = 0.004$ ,  $p = 0.95$ .

349 **Results**

350  
351 Both subjects performed the discrimination task above chance (selecting the higher  
352 rewarded stimuli, bootstrap statistical test with  $B = 1000$ ,  $p < 0.001$ ) for both monkey stimuli  
353 (Figure 1C) and object stimuli (Figure 1D). Performance was dependent on the juice drop reward  
354 differential between the two stimuli presented; both subjects performed best on trials contrasting  
355 zero and eight juice drop stimuli, and performed the worst on trials contrasting zero and three  
356 juice drop stimuli (1-way ANOVA with post-hoc multiple comparisons test, Monkey M,  $p =$   
357  $0.0034$ ,  $\eta^2 = 0.237$ , Monkey H,  $p = 0.000025$ ,  $\eta^2 = 0.544$ ) (Figure 1 E). Despite individual  
358 variation in looking time, both monkeys spent more time fixating on the higher valued stimuli  
359 (Figure 1F) (1-way ANOVA with post-hoc multiple comparisons test, Monkey M,  $p = 0.0011$ ,  $\eta^2$   
360  $= 0.311$ , Monkey H,  $p = 0.0072$ ,  $\eta^2 = 0.374$ ).

361  
362 Amygdala neurons were tuned to discrete features of the identity discrimination task.

363 We analyzed the activity of 308 well-isolated neurons from the left and right amygdala of  
364 two monkeys (Monkey H = 202, M = 106). The selectivity of each neuron in this population was  
365 tested for four task- or stimulus related features: (a) task-related events, (b) categories of stimuli

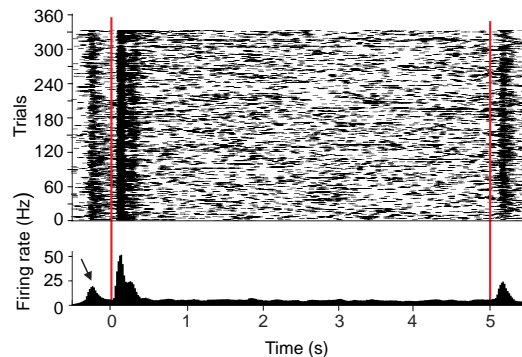
366 (monkeys vs. objects), (c) individual stimulus monkeys or objects, and (d) faces or eyes. Note  
 367 that there is an overlap between reward and identity because each monkey was associated with a  
 368 single reward value (eight drops, three drops and zero drops). As there are also objects uniquely  
 369 associated with the same three reward levels, we could extract reward value independently of  
 370 category (monkey and object). First we report selectivity for any of these categories (including  
 371 reward value) and then we report the probability of each neuron responding to more than one  
 372 category (multi-dimensional selectivity).

373

#### 374 Selectivity for each category

375 First, we identified task-responsive neurons that responded to (1) the presentation of the  
 376 start-cue, (2) the start of video presentation, (3) the end of video presentation, (4) or the  
 377 presentation of the choice-cue. The majority of the 308 recorded neurons (57.79%) significantly  
 378 changed their firing rate to one or more of these task events (29 neurons in monkey M and 149  
 379 neuron in monkey H; recall that the final analyses included 106 neurons from M and 202 neurons  
 380 from H). The task-responsive neuron shown in Figure 2 responded to the start-cue, video onset,  
 381 and video end.

382 *Figure 2. Raster-plot and peri-event time histogram for a neuron that responded to the start-cue and also*  
 383 *responded to the onset and offset of the video, indicated by the vertical red lines. The black arrow indicates the*  
 384 *increase firing rate elicited by the start cue that preceded the video onset. Due to a variable ( $\pm 100$  ms)*  
 385 *delay between the start cue and video onset, the fast rise in firing rate elicited by the start-cue is not as*  
 386 *clearly aligned in time as the onset and offset of the video.*



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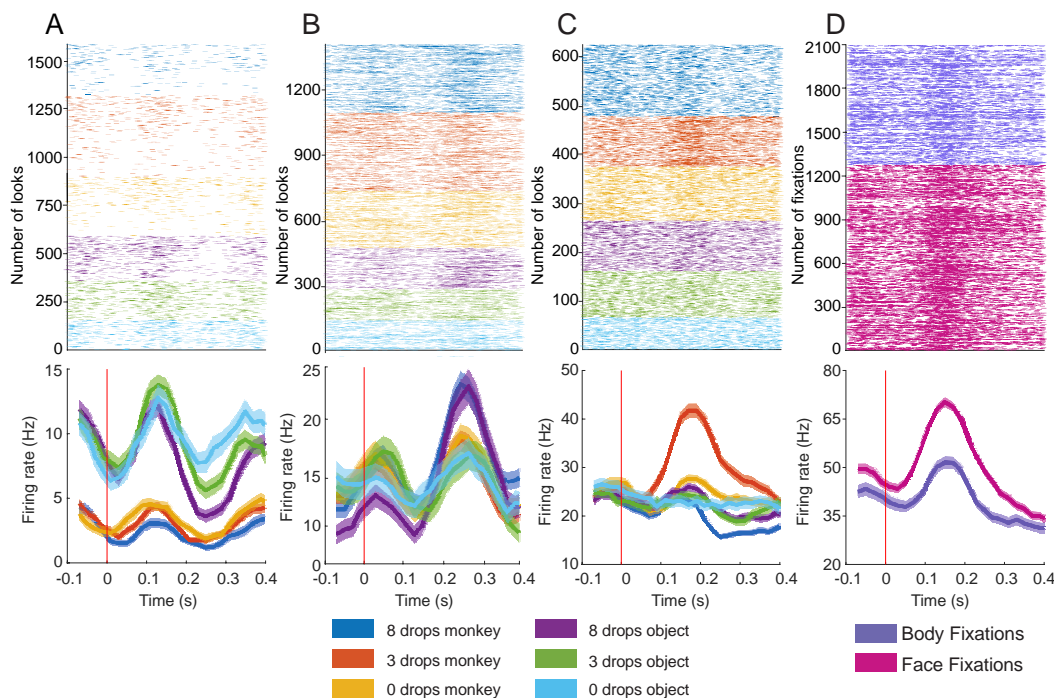
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Second, we identified neurons that differentiated between broad categories of stimuli (monkeys and objects) (Figure 3A). Given that two videos were displayed on the monitor at the same time, we established selectivity by calculating the firing rate during each “look” (sequence of fixations and saccades on the same video). As shown previously (Gothard et al., 2007), nearly half of the recorded population of neurons (45.78%) were category-selective (40 neurons in monkey M and 101 neurons in monkey H). The category selective neuron shown in Figure 3A responded with higher firing rates to objects compared to monkeys (a monkey-selective neuron in shown in Figure 3C). A smaller proportion of neurons were value-selective (12.33%, 8 neurons from monkey M and 30 neurons from H). The example value-selective neuron shown in Figure 3B had a higher firing rate for the high reward stimulus, either monkey or object. This type of selectivity was rare. More frequently we found neurons that responded to a combination of category and reward value, e.g., 3-drop monkey and 8-drop objects. We identified the neurons that were both category selective and value-selective (21.43%, 17 neurons from M and 49 from H). Because each monkey or object was associated with a reward value, neurons that were selective for both category and value were also responding to the unique identity of the stimulus. For these neurons, the inclusion of an interaction term between category (monkeys or object) and value (8, 3, and 0 drops of juice) into the General Linear Model significantly improved the fit compared to other models, and so they were classified as identity-selective

403 neurons. The identity-selective neuron shown in Figure 3C responded selectively to the monkey  
 404 associated with three drops of juice.



405

406 *Figure 3. Raster-plots and peri-event time histogram for neurons tuned to a different stimulus features. (A) Category-selective*  
 407 *neuron that responded with higher firing rates to objects compared to monkeys (firing rates before the start of each “look” are*  
 408 *offset because during the previous “look” the monkey was attending to the same category (on each trial only objects or monkeys*  
 409 *but not both were shown on the monitor). (B) Value-selective neuron that increased its firing rate in response to the monkey and*  
 410 *the object that were associated with the highest reward. (C) Identity-selective neuron that increases its firing rate when the*  
 411 *subject was looking at a specific monkey. (D) Face-selective neuron. Neural activity is aligned to either fixations on the face or*  
 412 *eyes (shown in pink), or fixations on the body (shown in purple).*

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414 Finally, we identified neurons that responded to fixation on the face or eyes of monkeys.  
 415 Fixations that landed on the eyes or face were compared to fixations that landed on the neuron  
 416 was classified as face/eye-selective (20.78%, 5 neurons from monkey M and 42 neurons  
 417 from monkey H). An example face/eye-selective neuron is shown in Figure 3D.

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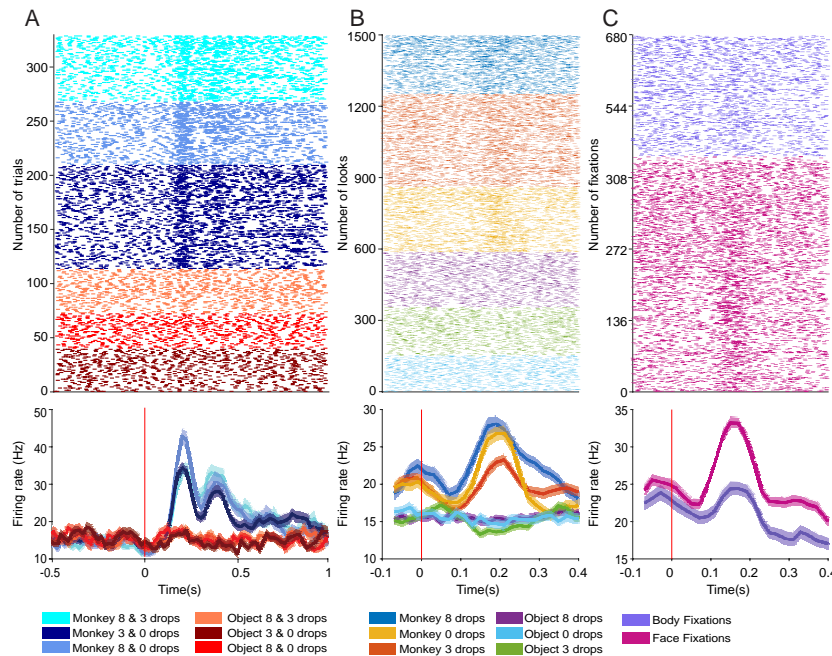
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#### 420 Multi-dimensional selectivity

421 A large proportion of neurons (255/308 = 82.79%) showed selectivity for at least one of  
 422 the events/stimulus features tested (71 neurons from monkey M and 184 from monkey H). The  
 423 majority of these neurons showed multi-dimensional responses, i.e., they were tuned to more  
 424 than one task event or stimulus feature (170/255 = 66.67%). Specifically, these 170 neurons met  
 425 criteria for more than one of the four possible classifications: (1) task-, (2) category-, (3)  
 426 identity-, and (4) face/eye-selective. For example, neurons that signal the start-cue may also be

427 category-selective and tuned to a particular item within a category. A multi-dimensional neuron  
 428 is shown in Figure 4. This neuron responded to task-events, was selective for monkeys,  
 429 discriminated between identities (monkey-reward combinations), and preferred faces over  
 430 bodies.

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Figure 4. Example of a multidimensional neuron, tuned to multiple task and stimulus features. (A) Raster-plots and peri-event time histograms aligned to video-onset, and grouped by stimulus pairs (monkey pairs shown in shades of blue, object pairs shown in shades of red). Note that this neuron increased its firing rate to the presentation of monkey stimuli, demonstrating both a video-onset response and category-selectivity. (B) The same neuron also differentiated between the three monkeys. Here the neural activity is aligned to the onset of each look. (C). The same neuron was selective for fixations on faces, as shown by the neural activity aligned to the onset of fixations on the face or eyes of monkeys (shown in pink) compared to fixations on the body (shown in purple).

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We determined whether all or only certain mixtures of selectivity were found in the population of 170 multi-dimensional neurons. Given four criteria for response selectivity each neuron could fall into one of sixteen possible combinations of selectivity. These include neurons that exhibited selectivity to all four classifications, neurons that were not selective to any of the four classifications, and all other possible combinations. The relative frequency of all permutations is illustrated in Figure 5A as a non-proportional Venn diagram. The number of cells we found in each selectivity combination is indicated by the blue diamonds in Figure 5B. Twelve neurons displayed selectivity to all four levels and 53 neurons did not respond to any task event or stimulus parameter. Notably, there were only two combinations (identity+face and

446 task+identity+face) of selectivity levels for which we did not observe a representative neuron.  
447 This is most likely explained by the low proportion of face-responsive neurons in our population.

448 To test if the observed frequencies of occurrence for each combination of selectivity were  
449 different than would be expected by chance (if the four classes of selectivity were completely  
450 independent of each other) we implemented a Monte Carlo simulation. A theoretical probability  
451 distribution (n=10,000) was generated assuming that the chance of a neuron to meet criteria for  
452 any of the four classes of selectivity was independent if its inclusion in the other classes.  
453 Importantly, for this simulation we retained the observed frequency of the each class of  
454 selectivity in our sampled population. Then, the observed frequency of each combination of  
455 selectivity was compared to the theoretical distribution. A non-random occurrence was indicated  
456 by a frequency that lay significantly above or below the theoretical distribution ( $\alpha = 0.025$ , two-  
457 tailed t-test). Interestingly, the observed frequency of neurons that met criteria for all four classes  
458 of selectivity was above the theoretical distribution ( $p < 0.00001$ ). Likewise, the proportion of  
459 neurons that did not respond to any task event or stimulus feature, and the proportion of neurons  
460 with a particular combination of three classes of selectivity (abc = task+category+identity) was  
461 also significantly higher than chance. These findings may indicate that the propensity for multi-  
462 dimensional responses is a defining, and not spurious feature of the neurons in the primate  
463 amygdala.

#### 464 465 Mixed selectivity

466 To evaluate the prevalence of mixed response neurons we performed a per-neuron  
467 analysis of variance for each previously-fit GLM model containing fixed effects terms for  
468 category, value, and a random effect of look length. After evaluating all 308 neurons considered  
469 in this study, we identified eleven neurons ( $11/308 = 3.57\%$ ) that exhibited mixed responses (as  
470 defined by significant [ $p < 0.05$ ] interaction terms between category and value, but non-  
471 significant main terms of category and value). In contrast we identified fifty-one separate  
472 neurons where the analysis of variance revealed both a significant [ $p < 0.05$ ] fixed effects of  
473 category and/or value and a significant [ $p < 0.05$ ] interaction terms between category and value,  
474 suggesting the relative prevalence of mixed response neurons is relatively low compared to other  
475 feature-encoding neurons.

476 Neurons that showed multi-dimensional selectivity were distributed across all major  
477 nuclei of the amygdala. To assess the anatomical distribution of multi-dimensional neurons we  
478 reconstructed the nuclear origin of the recorded neurons by aligning the x-y-z coordinates of the  
479 recording V-probes to high contrast fiducial markers on postoperative high-resolution MRI  
480 images that allowed us to estimate boundaries of the component nuclei.

481  
482 The proportion of neurons that responded to more than one stimulus feature by nucleus  
483 was: lateral nucleus = 11 neurons (64.7% of all lateral nucleus neurons); basal nucleus = 66  
484 (50% of all basal nucleus neurons); accessory basal nucleus = 41 neurons (47.7% of all accessory  
485 basal nucleus neurons), central nucleus = 32 neurons (53.3% of all central nucleus neurons); and  
486 medial nucleus = 2 neurons (15.4% of all medial nucleus neurons). We found that the multi-  
487 dimensional neurons were equally likely in all the nuclei (1-way ANOVA,  $p = 0.12$ ,  $\eta^2 = 0.023$ ).  
488 The electrophysiological characteristics of amygdala neurons were not linked to their multi-  
489 dimensional selectivity. Neurons were equally likely to respond with a decrease or increase in  
490 firing rate to different task parameters or stimulus (1-way ANOVA,  $p = 0.11$ ,  $\eta^2 = 0.028$ ).  
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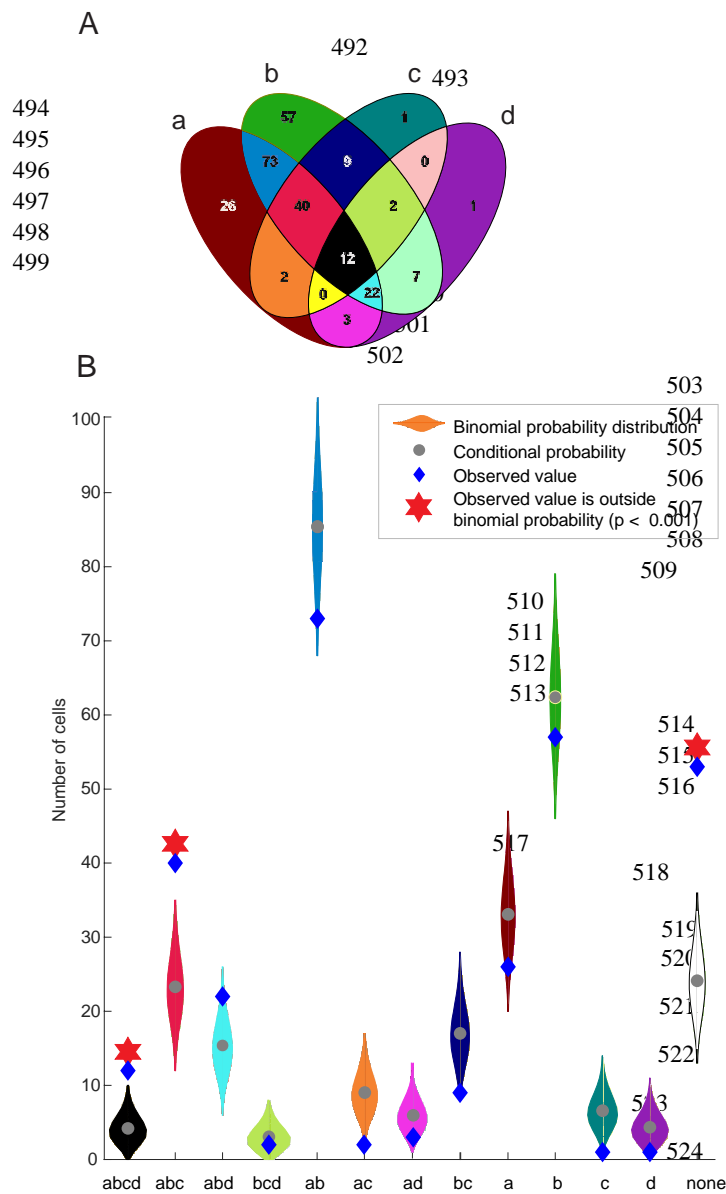


Figure 5. Distribution of selectivity combinations across the population of recorded neurons. (A) The non-proportional Venn diagram illustrates the four types of selectivity as four ellipses, where the intersectional areas of each ellipse correspond to neurons that show selectivity for the overlapping features. Neurons that are responsive to task events (start-cue presentation, video-onset or end, and presentation of the choice-cue) populate the 'a' ellipse. Neurons that were category-selective or value-selective populate the 'b' ellipse. Identity-selective neurons populate the 'c' ellipse. Finally, the 'd' ellipse contains the neurons that were face/eye-selective. The number of cells and the percentage of each combination of selectivity in the total population are marked inside each intersectional area. (B) A comparison between the theoretical and observed number of neurons in each type of selectivity (area shaded in each color as in A) The theoretical distribution for each selectivity type was generated from a binomial probability distribution centered around the conditional probability calculated by assuming that a cell's probability to respond selectively for any given level was independent of the selectivity for any other levels. This distribution is shown in the shaded areas (violin plots). The observed number of neurons in each combination of selectivity is indicated by the blue diamonds. A red star indicates when the observed number was outside 99.9% (corrected for two tailed test and multiple comparisons) of the theoretical distribution.



525

526 **Discussion**

527

528 Here we report that the same neurons in the primate amygdala track multiple task events  
529 and multiple stimulus dimensions in the context of a reward-motivated, identity discrimination  
530 task. The behavioral task combined multiple known functions of the amygdala that elicit  
531 predictable responses types. Specifically, we activated within a trial neurons that respond to (1)  
532 alerting stimuli (Mosher et al., 2010), (2) broad categories of social vs. non-social stimuli  
533 (Gothard et al., 2007), (3), faces (Minxha et al., 2017; Sanghera et al., 1979), eye contact  
534 (Mosher et al., 2014), and (4) stimulus-reward associations (Paton et al., 2006). Tasks that  
535 pursued separately each of these functions led to the conclusion that the amygdala contained  
536 “specialized” subpopulation of neurons that were tuned to distinct stimuli or stimulus features,  
537 such as faces, eyes, or the value associated with objects and events. Here we show that when  
538 subjects were required to keep track of multiple stimulus dimensions neurons in the amygdala no  
539 longer separate in non-overlapping, specialized subpopulations. On contrary, the same neurons  
540 are recruited into different subpopulations, each population responding selectively to a different  
541 task- or stimulus variable. Indeed, the same neurons encoded social and non-social dimensions of  
542 the stimuli even if they were not associated with the same reward value. For example, a neuron  
543 might respond selectively the monkey associated with 3 drops of juice and also to the object  
544 associated with 8 drops of juice. As Figure 5 shows, in a relatively small sample of 308 neurons,  
545 of the 16 possible combinations of selectivity, we have found representative neurons for 14  
546 combinations. Moreover, the number of neurons that showed selectivity for all four domains of  
547 selectivity examined here were above what would be expected by chance, suggesting that the  
548 observed multi-dimensional selectivity is not a mere accident resulting from the convergence of  
549 different inputs in the amygdala. A similar conclusion emerged recently from a study by Morrow  
550 and colleagues (2019) who tested the likelihood of neurons recorded from the monkey amygdala  
551 to respond to visual, tactile, and auditory stimuli. They found that the majority of these neurons  
552 are multimodal and have a higher probability of responding to stimuli of multiple sensory  
553 modalities than what would be expected by chance (Morrow et al., 2019). These multimodal and  
554 multi-dimensional responses are predicted by mathematical principles formulated in a recent  
555 theory of non-random combinatorial connectivity in cell assemblies (Li et al., 2016).

556 The idea that neurons that contribute to complex behaviors show selectivity for multiple  
557 task variables or stimulus parameters has been proposed decades ago based on the responses  
558 properties of neurons in the hidden layer of artificial neural networks. Zipser and Andersen  
559 (1988) showed that the hidden layer of an artificial neural network (that received input from  
560 neurons reporting retinal position and eye position), contained neurons that responded to various  
561 combination of the two inputs (gain fields). The response properties of these virtual neurons  
562 mapped onto the properties of neurons recorded from area 7a of posterior parietal cortex, an area  
563 involved in spatial perception. Moreover, neurons with mixed selectivity (i.e., nonlinear  
564 combinations of response properties) were reported in the prefrontal cortex of monkeys  
565 performing tasks that required alternating cognitive-behavioral strategies (Fusi et al., 2016;  
566 Mante et al., 2013; Rigotti et al., 2013). Elegant computational analyses showed that neurons  
567 with mixed selectivity are the signature of high-dimensional representations (Rigotti et al.,  
568 2013). High dimensional representation are necessary for flexible, context-dependent behavioral  
569 options, typically present in high-level association areas such as the prefrontal cortex (Fusi et al.,  
570 2016; Mante et al., 2013; Rigotti et al., 2013) and the posterior parietal cortex (Raposo et al.,

2014; Zhang et al., 2017). It appears that the monkey amygdala also contains a small number of neurons that meet the criteria for mixed selectivity matching similar reports from the human amygdala (Rutishauser et al., 2015; Farault et al., 2018). The number of cells that show mixed selectivity in our task is insufficient to compare directly the dimensionality of neural representations in the amygdala to what was shown for the neurons in the prefrontal cortex (Rigotti et al., 2013). However, the rich connectivity of the amygdala to the prefrontal cortex and its contribution to the majority of the behaviors attributed to the prefrontal cortex, suggests that future studies will find not only multi-dimensional selectivity but also mixed selectivity, in the strict terms used by Rigotti et al. (2013). Indeed, the burden of coordinating complex behaviors is often carried by the amygdala in conjunction with distinct areas of the prefrontal cortex, and the coactivity pattern among different subregions highlights differences in the division of labor among these areas (e.g. Belova et al., 2008; Morrison and Salzman, 2010; Pryluk et al., 2019; Saez et al., 2017).

Multi-dimensional representations are present in all brain areas that receive and process diverse inputs and generate context-dependent and state-dependent outputs (e.g. Padoa-Schioppa and Assad, 2006; Shenoy et al., 2013; Wallis et al., 2001). High-dimensional and often abstract representations at the population level translate at the single neuron level into complex response properties. For example, the majority of face responsive neurons in the monkey amygdala respond to unique combinations of face identity and facial expressions (Gothard et al., 2007). Taken separately, the identity or the emotional expression of a social partner may not be as informative for choosing a response strategy as the combination of identity and expression because the same emotional expression emitted by different social partners may require different actions in response. Social behavior depends on the functional integrity of the amygdala as it often requires the discrimination and the use of subtly distinct social signals (e.g., facial signals) that are expected to be high-dimensional (Rutishauser et al., 2015). Multi-dimensional neurons were found in all sampled nuclei of the monkey amygdala, suggesting that multi-dimensional processing in the amygdala does not result from hierarchical convergence of specific anatomical pathways that target only a subset of nuclei (e.g., value signals from the prefrontal cortex target the basal and accessory basal but not the lateral, central, and medial nuclei) (Ghashghaei et al., 2007). Neurons with multi-dimensional selectivity were also reported in the rodent amygdala (Grunfeld and Likhtik, 2018; Kyriazi et al., 2018), also distributed throughout the nuclei. These neurons may be key to explain the large and diverse array of behaviors in which the amygdala plays a significant role (Kennedy and Adolphs, 2012). Neural responses that mix two stimulus dimensions, such as social + reward (Munuera and colleagues 2018) or appetitive + aversive (Shabel and Janak 2009) have been shown both in monkeys and mice. Based on these findings it is possible to argue that multi-dimensional responses convey to neural networks a level of degeneracy (Tononi et al., 1999) required for the creation of latent evolving variables during learning, and flexible task switching (Cropper et al., 2016). Here we show that attention, categorization, reward magnitude, individuation, and face/eye selectivity could be processed by the same neurons. It appears, therefore, that narrow neural specializations, exemplified by “face cells” and “eye cells” are not the rule but the exception for neurons in the amygdala, and may be the result of not exposing these neurons to a sufficiently diverse set of stimuli and/or behavioral demands. In this study only 15.3% neurons responded to faces and eyes, and only 12.3% were value-selective; it may be that the neurons in the amygdala appear “unresponsive” in tasks that are too simple and low-dimensional to activate the neurons. Indeed, the current study and the recently published results of multimodal responses (Morrow et al., 2019) show that neurons that

617 encode specific types of information are a minority (only 15.3% and 12.3% face cells and reward  
618 cells respectively) compared to multi-dimensional neurons but this becomes obvious only when  
619 animals perform complex tasks or are placed in naturalistic behavioral contexts (Gothard et al.,  
620 2017).

621 A more broad interpretation of the results presented here is limited by several factors. We  
622 report only 308 neurons recorded from 2 subjects. Despite these relatively small numbers,  
623 neurons exhibiting multi-dimensional selectivity were dominant in the population of cells  
624 recorded from both subjects. Although we consider these neurons as part of neural ensembles  
625 whose activity rises and falls simultaneously as the stimuli, the task, and the animal's behavior  
626 unfolds, the number of neurons we recorded simultaneously with the two 16-channel V-probes  
627 are insufficient to address quantitatively the co-activity across subpopulations of cells. A  
628 technological advancement, which would increase the number of simultaneously monitored  
629 neurons by an order of magnitude, would be required to address issues of recruitment and de-  
630 recruitment of neurons into neural ensembles. A further limitation is that the operant choice  
631 behavior was different for the two monkeys and we were not able to include the dimensionality  
632 analyses of the neural responses to choice behaviors. Finally, the null effect of oxytocin  
633 administration adds to the growing evidence that the behavioral and neural effects of intranasal  
634 oxytocin in primates has not been unequivocally established (recently reviewed by (Putnam et  
635 al., 2018). Indeed, in humans and non-human primates, the large genetic and behavioral  
636 variation among individuals hinders the emergence of reliably replicable responses to oxytocin.  
637 Despite these limitations, the demonstration of multi-dimensional selectivity in the primate  
638 amygdala provides further evidence that the amygdala utilizes a common neural framework to  
639 processes distinct task demands and stimulus parameters.

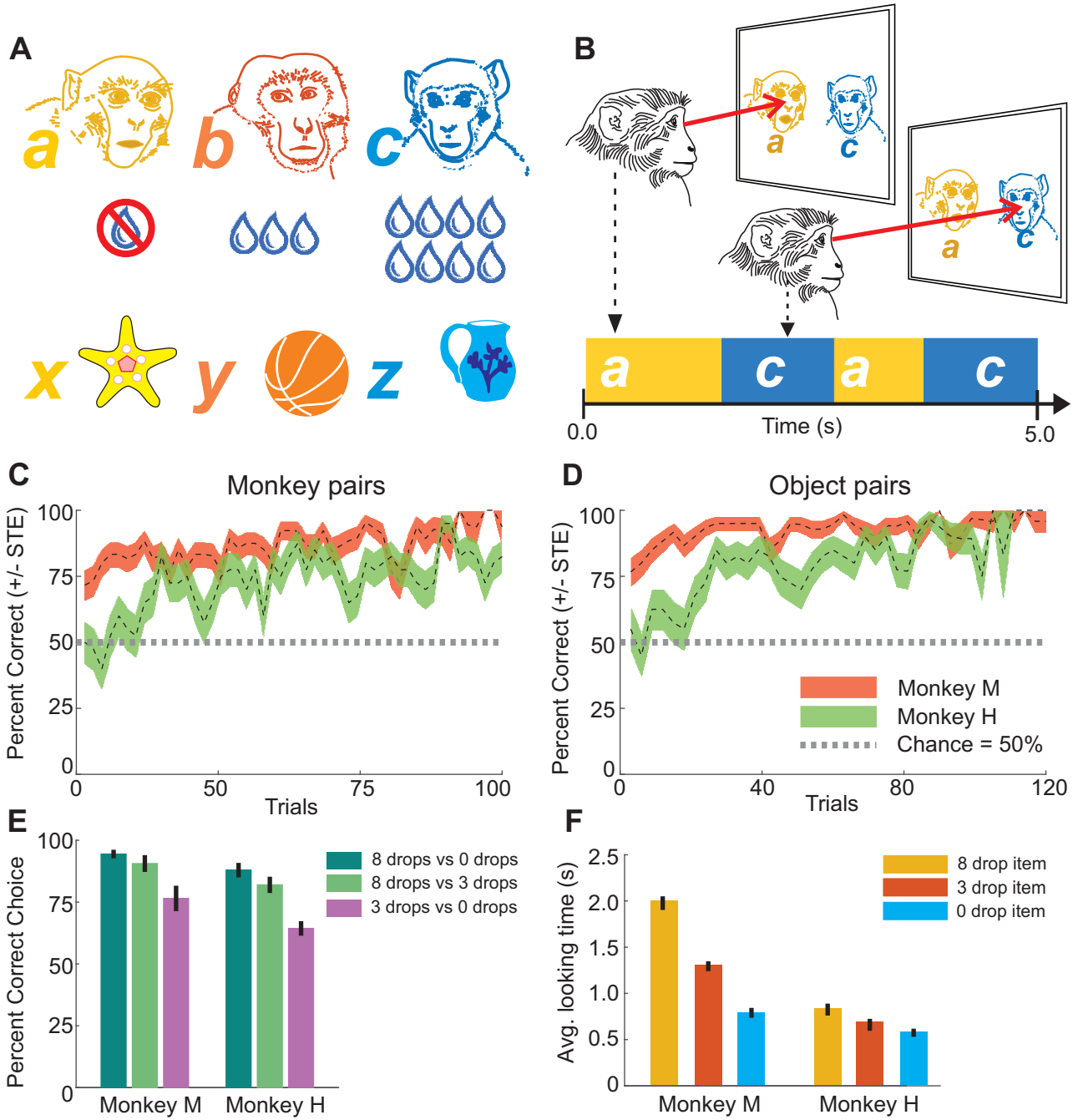
640 The four types of response selectivity examined in this study mark different levels on a  
641 continuum spanning from the most general to the most specific level of selectivity and these  
642 levels were reflected in the proportion of neurons that responded to each level. We ranked  
643 responses to alerting stimuli such as task events as the most general level, as these responses  
644 were present in all trials (e.g., responses to the fixation icon, and to video-on and video-off  
645 events that required attention and engagement with the task, regardless of the stimuli). Responses  
646 to alerting stimuli reflect the role of the amygdala in coordinating general attention and vigilance  
647 and were the most frequently observed responses in the population. Less frequent were the  
648 category-selective responses (differentiating monkeys from objects). These responses were more  
649 specific than responses to alerting stimuli, but more general than selectivity of individuals.  
650 Selectivity for individuals (that did not always require looking at the eyes) ranked in frequency  
651 below category selectivity but above face and eye-selectivity, which represented the highest level  
652 of specificity. Note that multi-dimensional responses do not automatically imply inclusion in a  
653 more general/less specific level of selectivity (nested selectivity); for example, we found three  
654 neurons that responded selectively to faces and eyes + task events, but did not differentiate social  
655 and non-social stimuli or individuals. The inverse relationship between the specificity and  
656 frequency of a particular type of response (the most specific being the least frequent) justifies a  
657 shift of emphasis from neurons of pure selectivity (face cells) to neurons of mixed, or multi-  
658 dimensional selectivity, especially in brain areas, such as the prefrontal and parietal cortex that  
659 coordinate multiple cognitive functions (Riggotti and colleagues 2013; Zhang et al., 2017).  
660 Ultimately, it is likely that behaviorally meaningful brain states do not emerge from a higher  
661 number/proportion of neurons with highly selective response properties but from temporal  
662 interactions across large population of multi-dimensional neurons.

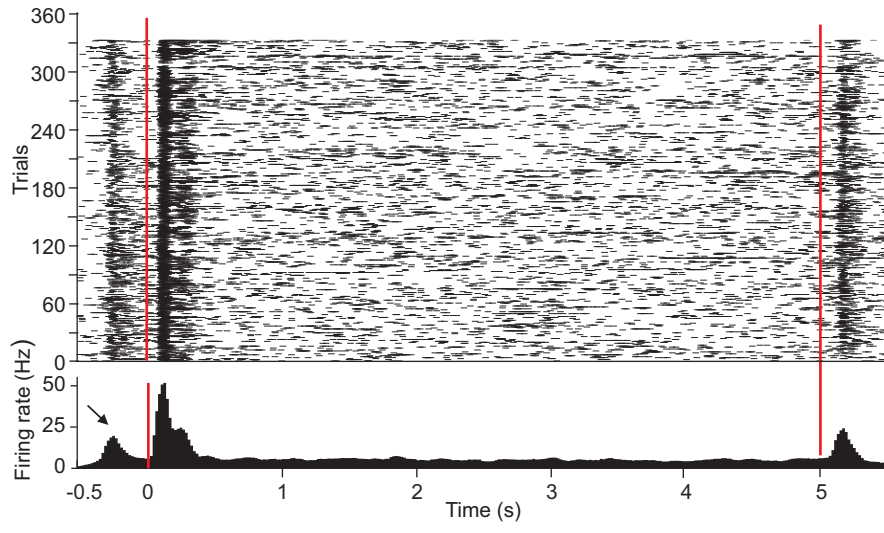
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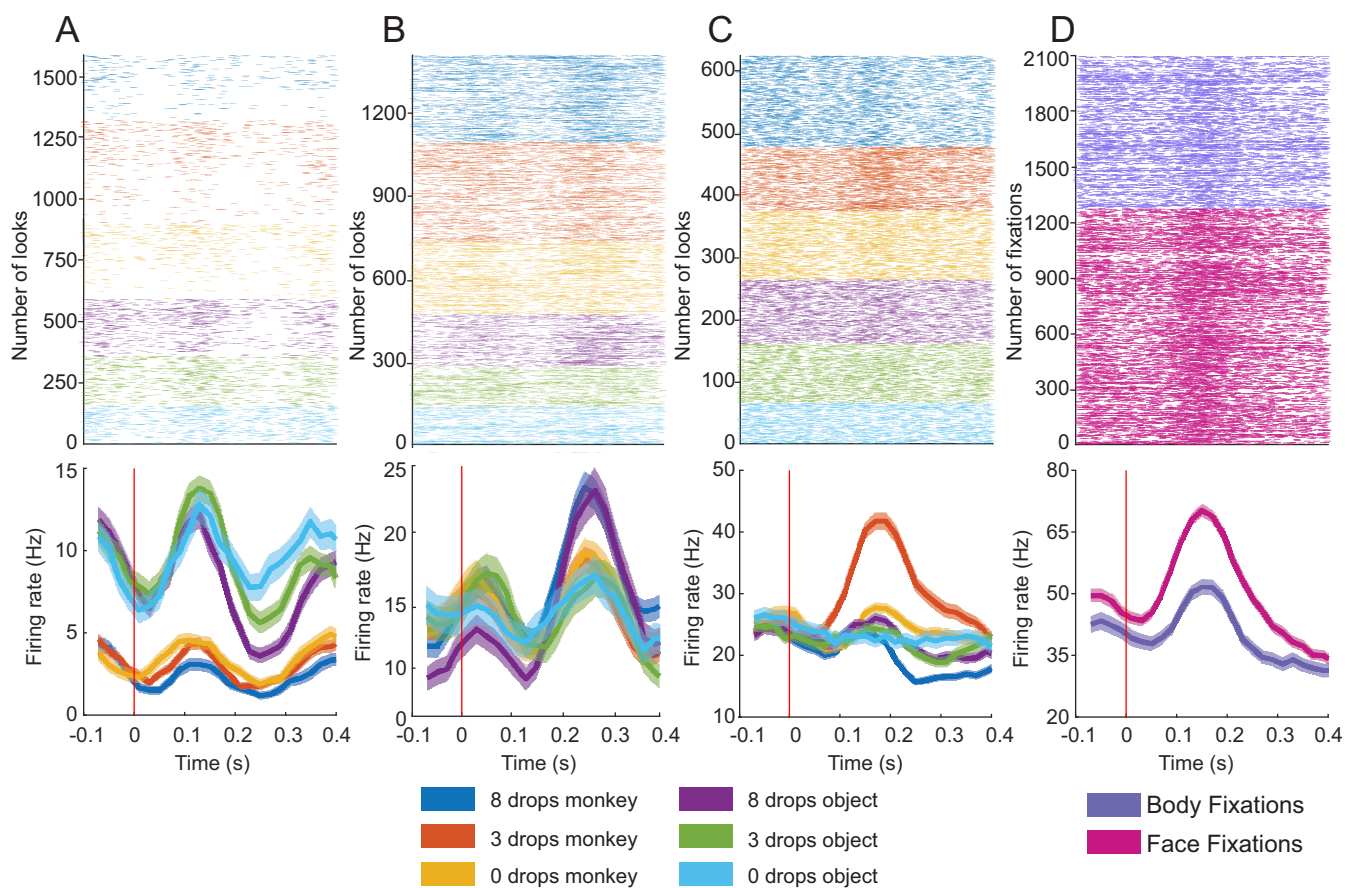
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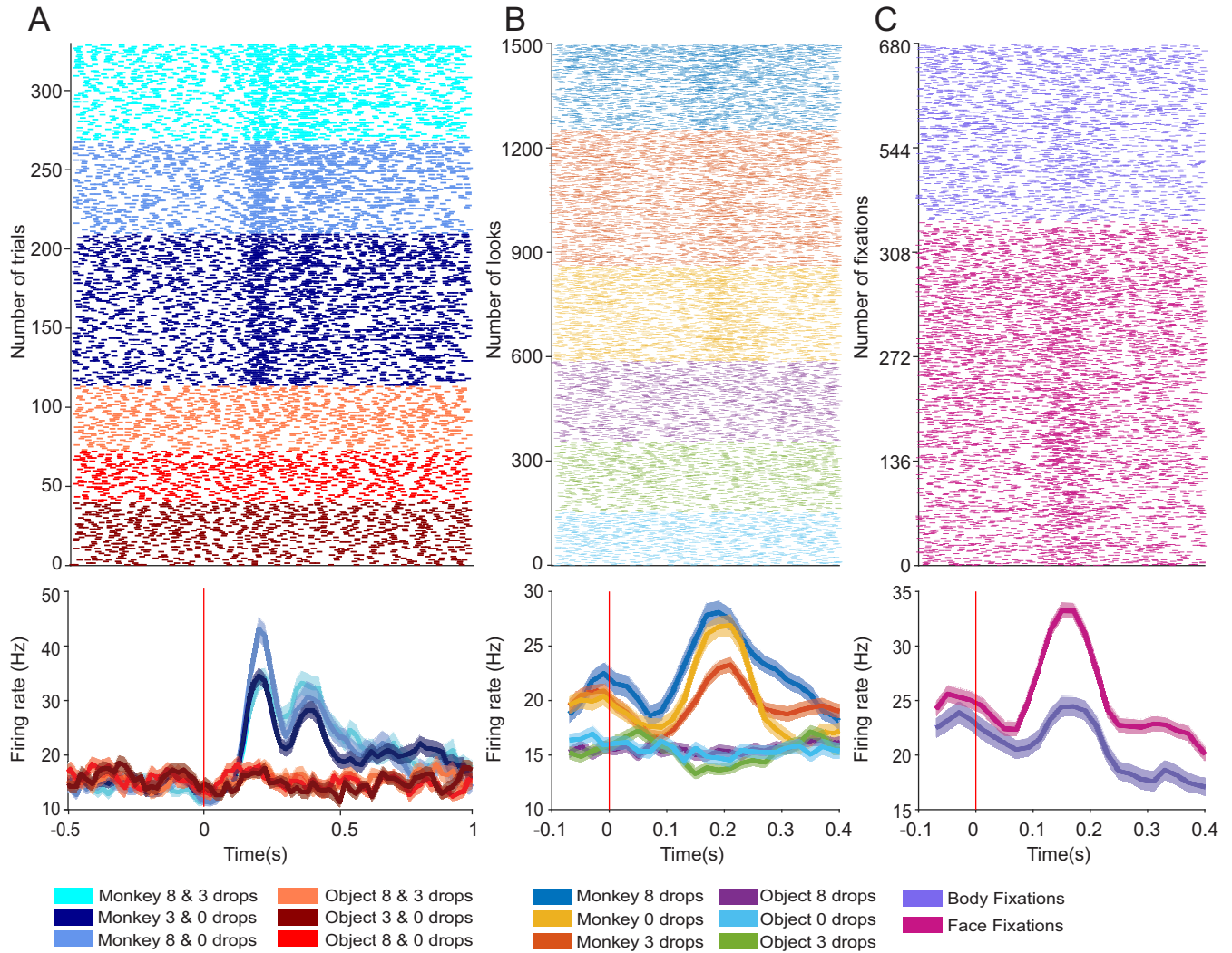
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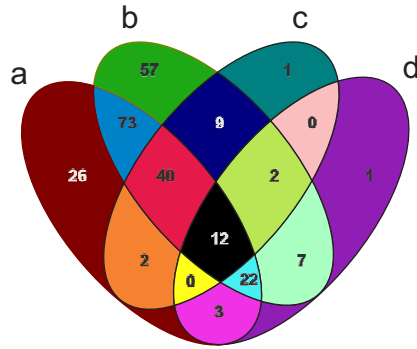








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